



ISC Group, Inc.

Corporate Privacy Policy

Commitment to privacy

Institutional Securities Corporation (“ISC”) and ISC Advisors, Inc. (“ISCA”) are committed to maintaining the confidentiality, integrity, and security of personal information entrusted to us by current and potential financial service customers. This notice explains how we collect, use, share, and safeguard your nonpublic personal information (“NPPI”) in accordance with federal regulations, including the Securities and Exchange Commission’s Regulation S-P.

What type of NPPI information do we collect

- Personal details (e.g., name, address, Social Security number and date of birth).
- Financial information (e.g., income, assets, investment objectives, spending habits, account balances and net worth).
- Transaction details with us, our affiliates and other third parties.
- Information from other sources (e.g., credit reports and employment history, with your consent and as permitted by law).

How and why we collect your personal information

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information.

We collect your NPPI from the following sources:

- Information we receive from you on applications or other forms;
- Information supplied by your employer if you are a client through an employer-sponsored retirement plan;

How we protect your personal information

We restrict access to nonpublic information about you to those employees who need to know that information to provide products or services to you. ISC and ISCA employees are subject to a strict employment policy regarding confidentiality, which includes secure data encryption. Additionally, all our employees and associated personnel are subject to ongoing cybersecurity awareness training. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your NPPI.

We do not retrieve account or personal information from visitors who browse the public (pre-login) areas or our website, www.iscgroup.com. Clients who can access their accounts online are required to login with their username and individually selected password. Your password is known solely to you, the client, and should never be shared with anyone. You may change your password as often as you wish.

How we share your information

We do not disclose any NPPI about our customers or former customers to anyone except as permitted by law. We may disclose information to unaffiliated parties as allowed by law, such as responding to a subpoena, preventing fraud, or complying with an inquiry by a government agency or regulator. ISC and ISCA may use personal information for the purpose of offering or furnishing products and services. Third parties may be used to help prepare notices of these offerings. However, names and addresses are never given or sold to third parties for any outside use.

Disclosure to unaffiliated third parties

In the normal course of business, NPPI may be shared with people or entities involved in servicing and administering products and services on our behalf including:

- Financial services institutions, such as mutual fund companies, securities brokers, insurance agencies, clearing brokers, and banks with whom we have joint marketing agreements (such as agreements to market financial services or products that they jointly offer, endorse or sponsor with us); or
- Companies under contract to perform services for us or on our behalf (such as vendors providing data processing, computer software maintenance and development, and transaction processing).

Data breach

In the event of a breach involving your NPPI, we will notify you within 30 days, unless it is determined that the breach is unlikely to cause substantial harm or inconvenience.

Changes to this notice

We may update this privacy notice if our internal policies or regulatory requirements change. You will be notified of any material changes via mail, email or through our website at www.iscgroup.com.

Former clients

If you decide to close your account(s) or become an inactive customer, we will adhere to our privacy policies, which may be amended from time to time.

Questions

If you have questions about this privacy notice or about the privacy of your customer information call our main number 800-888-3520 and ask to speak to the Chief Compliance Officer.

Brokerage services and securities offered through Institutional Securities Corporation, Member FINRA/SIPC. Investment advisory services offered through ISC Advisors, Inc. Both companies are wholly owned subsidiaries of ISC Group, Inc., 3500 Oak Lawn Ave., Suite 400, Dallas, TX 75219. www.iscgroup.com.